TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)				CUSTOMER NO. 22,852				
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371				ATTORNEY'S DOCKET NUMBER: 05788.0389				
				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/573,924				
			INTERNATIONAL FILING DATE September 30, 2003	PRIORITY DATE CLAIMED				
		INVENTION						
so	SOLID OXIDE FUEL CELL							
		NT(S) FOR DO/EO/US	AI BI77ATI: 2) Antonino Salvate	oro ADICO: 4) Laura Docalha CIII I O				
1) Agustin SIN XICOLA; 2) Enrico ALBIZZATI; 3) Antonino Salvatore ARICO; 4) Laura Rosalba GULLO; 5) Daniela LA ROSA; 6) Vincenzo ANTONUCCI; 7) Yuri A. DUBITSKY								
<u>ٺ</u>	Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1.								
2.	⊠		submission of items concerning a submission	under 35 U.S.C. 371.				
	_		•					
3.	П	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4.		The US has been elected (Article 31)						
5.		A copy of the International Application	n as filed (35 U.S.C. 371 (c)(2)).					
		a.	ed only if not communicated by the International	Bureau).				
ł	b.							
	c. is not required, as the application was filed with the United States Receiving Office (RO/US).							
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
		a. is attached hereto.						
		<u>_</u>	nitted under 35 U.S.C. 154(d)(4).					
7.								
'·	Ц	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).						
		a. are attached hereto (required only if not communicated by the International Bureau).						
		b. have been communicated by the International Bureau.						
		c. have not been made; however, the time limit for making such amendments has NOT expired.						
Į		d. have not been made and	will not be made.					
8.		An English language translation of the	e amendments to the claims under PCT Article	19 (35 U.S.C. 371 (c)(3)).				
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).						
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).						
Item	Items 11 to 20 below concern document(s) or information included:							
		ζ-,						
11.	\boxtimes	Supplemental Information Disclosure	Statement under 37 CFR 1.97 and 1.98					
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13.		A preliminary amendment, including 21 claims and abstract						
14.		An Application Data Sheet under 37 CFR 1.76.						
15.		A Substitute specification.						
16.		A power of attorney from Assignee document.						
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.						
		A second copy of the published International Application under 35 U.S.C. 154 (d)(4).						
		A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).						
		Other items or Information:						
[20.	20. 🖾 Other items or Information:							
1		a. Copy of cover page of International Publication No.						
		b.	• ,					

10/573,924	,	INTERNATIONAL APPLICATION NO. PCT/EP2003/010821		ATTORNEY'S DOCKET NUMBER 05788.0389		
The following fees hav	The following fees have been submitted:				CALCULATIONS	PTO Use
21. BASIC NATIONAL FE	BASIC NATIONAL FEE (37 CFR 1.492(a)):\$300.00				\$	Only
- 22. ☐ Examination fee (37CF					Ψ	
	, ,,	reliminary examination report	prepared			
by IPEA/US indicates all cla	f the Written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					
If the written opinion of the ISA/US IPEA/US indicates all claims Search fee (37 CFR 1.445(a)(2)) he International Searching Aut International Search Report prepar	23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$	
All other situations	Il other situations\$500				•	
Additional for for an additional for	TOTAL of 21	•	00110000		\$	·
listing in compliance w electronic medium) (37	Additional fee for specification and drawings filed in paper over 100 s listing in compliance with 37 CFR 1.821(c) or (e) or computer electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or frac			uter program listing in an		
Total Sheets	Extra Sheets	Number of each additional 5 thereof (round up to a whole		Rate		
39				x \$250.00	\$	
Surcharge of \$130.00 for furnishing commencement of the national sta		mination fee, or the oath or de	claration after the da	te of	\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total Claims	40 - 20 =	20	x \$50.00)	\$	
Independent Claims	1		x \$200.00		\$	
MULTIPLE DEPENDENT CLAIM(S	i) (if applicable)		+\$360.00		\$	
	TOTAL OF ABOVE CALCULATIONS Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.			JLATIONS =	\$130.00	
Applicant claims small entity sta					\$	
	SUBTOTAL =			\$130.00		
Processing fee of \$130.00 for furnit 1.492(i)).	rocessing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest priority date (37 CFR .492(i)).			e (37 CFR +	\$	
		•	TOTAL NATI	ONAL FEE =	\$130.00	
	Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. + TOTAL FEES ENCLOSED =			ropriate +	\$	
2007 LLANDGRA 00000051 105739				NCLOSED =	\$130.00	
1617 1	30.00 OP				Amount to be refunded:	\$
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					charged:	\$
	\$_130.00 to cover the a			to cover t	· · · · · · · · · · · · · · · · · · ·	\$
i	sit Account No.	bove fees is enclosed in the amount of \$		to cover the	· · · · · · · · · · · · · · · · · · ·	\$
b. Please charge my Depos A duplicate copy of this s c. The Commissioner is her	bit Account Noheet is enclosed.	in the amount of \$			ne above fees.	
b. ☐ Please charge my Depose A duplicate copy of this section. c. ☐ The Commissioner is here No. 06-0916. A duplicate d. ☐ Fees are to be charged to	heet is enclosed. reby authorized to charge are the copy of this sheet is enclosed.	in the amount of \$ ny additional fees which may bosed. Information on this form may	pe required, or credit	any overpaym	ne above fees. ent to Deposit Accor	unt
b. Please charge my Depos A duplicate copy of this s c. The Commissioner is her No. 06-0916. A duplicate d. Fees are to be charged to on this form. Provide cr	the tis enclosed. The tis enclosed. The tis enclosed to charge and the copy of this sheet is enclosed to a credit card. WARNING: The tis enclosed to the tis enclosed to a credit card.	in the amount of \$ ny additional fees which may to sed. Information on this form may thorization on PTO-2038.	pe required, or credit	any overpaym	ne above fees. nent to Deposit Accou	unt pe included
b. Please charge my Depos A duplicate copy of this s c. The Commissioner is her No. 06-0916. A duplicate d. Fees are to be charged to on this form. Provide cr	heet is enclosed. reby authorized to charge are the copy of this sheet is enclosed acredit card. WARNING: redit card information and authorized to pending the copy of the cord information and authorized the cord information to pending the cord information to pending the cord information to pending the cord in the cord information to pending the cord in the cord i	in the amount of \$ ny additional fees which may to sed. Information on this form may thorization on PTO-2038.	pe required, or credit	any overpaym	ne above fees. nent to Deposit Accou	unt pe included
b. ☐ Please charge my Depose A duplicate copy of this section. c. ☑ The Commissioner is her No. <u>06-0916</u> . A duplicate duplicate on this form. Provide creating to restore the Internation.	theet is enclosed. The by authorized to charge and the copy of this sheet is enclosed of a credit card. WARNING: redit card information and authorized the copy of the copy of this sheet is enclosed to a credit card. The copy of the c	in the amount of \$	be required, or credit become public. Cre on to revive (37 CFI	any overpaym dit card inform R 1.137(a) or (ne above fees. ent to Deposit Accor mation should not to (b)) must be filed an	unt pe included

1 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE CUSTOMER NO. 22,852 (DO/EO/US) ATTORNEY'S DOCKET NUMBER: 05788.0389 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/573,924 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED September 30, 2003 PCT/EP2003/010821 TITLE OF INVENTION SOLID OXIDE FUEL CELL APPLICANT(S) FOR DO/EO/US 1) Agustin SIN XICOLA; 2) Enrico ALBIZZATI; 3) Antonino Salvatore ARICO; 4) Laura Rosalba GULLO; 5) Daniela LA ROSA; 6) Vincenzo ANTONUCCI; 7) Yuri A. DUBITSKY Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C 371. П This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed with the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. h have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). П An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). × 9. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 10. Items 11 to 20 below concern document(s) or information included: 11. Supplemental Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment, including 21 claims and abstract 13. 14. An Application Data Sheet under 37 CFR 1.76. 15. A Substitute specification. A power of attorney from Assignee document. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 18. A second copy of the published International Application under 35 U.S.C. 154 (d)(4). 19. A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4). 20. Other items or information:

Copy of cover page of International Publication No. Copy of Notification of Missing Requirements.

European International Search Report

b. 🔯

c. 🗆

U.S. APPLICATION NO. (if known	n, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER		
10/573,924		PCT/EP2003/010821		05788.0389		
The following fees have been submitted:				CALCULATIONS	PTO Use Only	
21. BASIC NATIONAL FI	BASIC NATIONAL FEE (37 CFR 1.492(a)):\$300.00			\$		
22. Examination fee (37C	FR 1.492(c))					
If the Written opinion prepared by		preliminary examination repor			,	
All other situations					\$	
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)						
All other situations		1, 22 and 23 =	\$500		\$	
	on and drawings filed in pape	r over 100 sheets (excluding s			•	
electronic medium) (3		or computer program listing in paper or fraction thereof.	an			
Total Sheets	Extra Sheets	Number of each additional thereof (round up to a who		Rate		
39				x \$250.00	\$	
Surcharge of \$130.00 for furnishin commencement of the national street		imination fee, or the oath or d	eclaration after the da	ate of	\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total Claims	40 - 20 =	20	x \$50.00		\$	
Independent Claims	I	1 × \$200.00			\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$360.00					\$ \$130.00	
TOTAL OF ABOVE CALCULATIONS =						
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						
SUBTOTAL = \$130.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest priority date (37 CFR \$						
1.492(i)).	iishing the English translatio	mater than 50 months from the	ie earliest priority dat	+		
			TOTAL NAT	ONAL FEE =	\$130.00	
Fee for recording the enclosed as cover sheet (37 CFR 3.28, 3.31).		The assignment must be accommodated assignment must be accommodated as a second	companied by an app	propriate +	\$	
TOTAL FEES ENCLOSED				ENCLOSED =	\$130.00	
					Amount to be refunded:	\$
					charged:	\$
a. A check in the amount of \$\frac{130.00}{}\$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u> . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue, N.W. Washington, DC 20001-4413						
Ernest F. Chapman, Reg. No. 25,961 NAME/REGISTRATION NUMBER DATED: April 24, 2007						

L.

PRIORITY DATE



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandris, Virginia 22313-1450
www.uspto.gov

I.A. FILING DATE

09/30/2003

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/573,924 Agustin SIN XICOLA 057880389

> INTERNATIONAL APPLICATION NO. PCT/EP03/10821

22852

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP

901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413

CONFIRMATION NO. 5367 371 FORMALITIES LETTER

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MAR G 7 2007

Date Mailed: 02/27/2007

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NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/30/2006
- Copy of the International Search Report filed on 03/30/2006
- Preliminary Amendments filed on 03/30/2006
- Information Disclosure Statements filed on 03/30/2006
- U.S. Basic National Fees filed on 03/30/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,

WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)
- Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

FRANCINE YOUNG.

Telephone: (703) 308-9140 EXT 215

PART 1 - ATTORNEY/APPLICANT COPY

U.C. ADDI IOATIONA DE COMO				
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.		
. 10/573,924	PCT/EP03/10821	057880389		

FORM PCT/DO/EO/905 (371 Formalities Notice)